



Please type a plus sign (+) inside this box → ☐

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/08B (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

| | |
|------------------------|-----------------|
| Application Number | 09/910,698 |
| Filing Date | 07/19/2001 |
| First Named Inventor | Parviz Tayebati |
| Group Art Unit | 2828 |
| Examiner Name | L. Scott, Jr. |
| Attorney Docket Number | CORE-3 CON 3 |

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials ¹ | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------------------|-----------------------|---|----------------|
| | | MARX ET AL., "Low-loss strain induced optical waveguides in strontium barium niobate (Sr0.6Ba0.4Nb2O6) at 1.3 um | |
| | | wavelength", Appl. Phys. Lett., American Institute of Physics, vol. 66, No. 3, January 16, 1995, pp. 274-276. | |
| | | R.C. ALFERNESS ET AL., "Vertically coupled InGaAsP/InP buried rib waveguide filter:", Appl. Pys. Lett. 59 (20), | |
| | | American Institute of Physics, November 11, 1991, pp. 2573-2575. | |
| | | F. HEISMANN ET AL., "Narrow-linewidth, electro-optically tunable InGaAsP-Ti:LiNbO3 extended cavity laser", Appl. Phys. | |
| | | Lett., Vol. 51, No. 3, July 20, 1987, pp. 164-166. | |
| | | V. JAYARAMAN ET AL., "Extended Tuning Range In Sampled Grating DBR Lasers", IEEE Photonics Technology Letters, Vol. 5, | |
| | | No. 5, May 1993, pp. 489-491. | |
| | | | |
| | | | |
| | | | |

RECEIVED
NOV 29 2002
TECHNOLOGY CENTER 2800

| | | | |
|--------------------|--|-----------------|--------|
| Examiner Signature | | Date Considered | 7/2/03 |
|--------------------|--|-----------------|--------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.